

POLI 3396G: The Politics of Foreign Aid  
SSC – 4112  
Tuesdays 9:30-11:30

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**Office:** Social Science Centre 4162

**Office Hours:** Tuesdays 1:00-3:00pm  
& by appointment

Course Description: This seminar course offers a broad survey of the international politics of foreign aid. Specifically, we will examine the use of official development assistance as a foreign policy tool as well as the wide variety of international actors involved in the allocation of foreign aid. The course seeks to understand the motivations behind the giving of aid as well as the impact of foreign aid in recipient countries. Several themes in the political economy of development will be addressed including economic growth, governance, democracy promotion, human rights, conflict, and climate change. Students will learn about the benefits and harms associated with foreign aid from a variety of perspectives.

Course Expectations: Students are expected to read all of the assigned course materials and actively participate in the seminar discussion. Students should contribute their views during class discussion and treat all class members with courtesy and respect. Personal electronic devices, other than laptops, are not to be used during class. The use of laptops is strongly discouraged. All ringing cellphones will be answered by the professor and/or removed for the remainder of the class. Attendance is mandatory and unexcused (unaccommodated) absences will be reflected the final grade in the course.

Prerequisites: POL 2231: International Relations; POL 3324: Research Methods

*Unless you have either the requisites for this course or written special permission from your Dean to enroll in it, you will be removed from this course and it will be deleted from your record. This decision may not be appealed. You will receive no adjustment for your fees in the event that you are dropped from a course for failing to have the necessary prerequisites.*

**Required Books for Purchase:**

William Easterly. *The White Man's Burden: Why the West's Efforts to Aid the Rest Have Done So Much Ill and So Little Good*. New York: Penguin Books, 2006.

Roger C. Riddell. *Does Foreign Aid Really Work?* Oxford: Oxford University Press, 2007.

Peter Gill. *Famine and Foreigners: Ethiopia since Live Aid*. Oxford: Oxford University Press, 2010.

Class Evaluation Breakdown:

- 1) Class Participation: 10%
- 2) Reading Summary and Critiques: 30% (10% x 3)
- 3) Research Essay: 35%
- 4) Take Home Final Exam: 25%

- 1) This is a reading-intensive seminar course. Students are expected to come fully prepared to discuss all of the required reading each class and are strongly encouraged to explore the recommended readings. Class attendance and participation account for 10% of the final grade.
- 2) Students will write three (3) short responses on the week's readings. Students may choose which weeks they will critique and should limit their responses to 4 pages double spaced (approximately 1200 words). Each article critique will be worth 10% of the final grade. Further instructions will be provided in class.
- 3) The main component of the course is a research essay that makes a unique and independent contribution to our knowledge on the politics of foreign aid. Students are asked to choose their own research topic in consultation with the professor. Papers are to be 13-15 pages, double spaced, and are **due via OWL Dropbox on Friday, March 8, 2013 by 5:00pm**. Please do not plagiarize. The paper is 35% of the final grade. Late papers will be penalized by 10% per day. No papers will be accepted after March 11, 2013.
- 4) During the scheduled exam period, students will write a take-home final exam covering all of the course material (both readings and discussion). The exam will consist of one long essay question. The final exam is 25% of the total grade for the course and is **due on Tuesday, April 16, 2013 via OWL Dropbox by 5:00pm**. No late final exams will be accepted.

**Scholastic offences are taken very seriously and students are directed to read the appropriate policy, specifically, the definition of what constitutes a Scholastic Offence, at the following Web site: <http://www.uwo.ca/univsec/handbook/appeals/schloff.pdf> . Students may be required to submit their papers via TurnItIn.**

## **PART 1: ACTORS**

### **1: Course Overview January 8**

Roger C. Riddell. *Does Foreign Aid Really Work?* Oxford: Oxford University Press, 2007.  
Chapters 2 and 3: pp. 17-50.

### **2: Introduction January 15** class to be rescheduled.

David H. Lumsdaine. "Chapter 1: Do Morals Matter in International Politics?" In *Moral Vision in International Politics: The Foreign Aid Regime, 1949-1989*. Princeton: Princeton University Press, 1993. pp. 3-29.

### **3: The Motivations of Foreign Aid January 22**

Roger C. Riddell. *Does Foreign Aid Really Work?* Chapter 9: pp. 139-162.

William Easterly. *The White Man's Burden*. New York: Penguin Books, 2006. Chapters 1 and 2:  
pp. 3-59.

#### **Recommended:**

Alberto Alesina and David Dollar. "Who Gives Foreign Aid to Whom and Why?" *Journal of Economic Growth* 5 (2000): 33-63.

### **4: Bilateral Foreign Aid Actors January 29**

Roger C. Riddell. *Does Foreign Aid Really Work?* Chapter 4: pp. 51-76.

Carol Lancaster. "The Phenomenon of Foreign Aid." *Transforming Foreign Aid*. pp. 9-16.  
([http://www.piie.com/publications/chapters\\_preview/321/1ie2911.pdf](http://www.piie.com/publications/chapters_preview/321/1ie2911.pdf))

James H. Lebovic. "Donor Positioning: Development Assistance from the U.S., Japan, France, Germany, and Britain." *Political Research Quarterly* 58.1 (2005): 119-126.

#### **Recommended:**

Stephen Brown. "Aid Effectiveness and the Framing of New Canadian Aid Initiatives" in *Struggling for Effectiveness: CIDA and Canadian Foreign Aid*. Montreal: McGill-Queen's University Press, 2012. Pp. 79-107.

The People's Republic of China. *China's Foreign Aid*. China: Information Office of the State Council, 2011.

([http://news.xinhuanet.com/english2010/china/2011-04/21/c\\_13839683.htm](http://news.xinhuanet.com/english2010/china/2011-04/21/c_13839683.htm))

## 5: Multilateral Foreign Aid and Other Actors **February 5**

Roger C. Riddell. *Does Foreign Aid Really Work?* Chapter 5: pp. 77-88.

T. Addison, M. McGillivray and M. Odedokun. "Donor Funding of Multilateral Aid Agencies: Determining Factors and Revealed Burden Sharing." *The World Economy* 27 (2004): 173–191. (<http://www.ony.unu.edu/donor%20funding.pdf>)

Michael Edwards, David Hulme. "Too Close for Comfort? The Impact of Official Aid on Nongovernmental Organizations." *World Development* 24.6 (1996): 961-973

### Recommended:

Roger C. Riddell. *Does Foreign Aid Really Work?* Chapters 16 and 17: pp. 259-310.

Christopher Kilby. "Donor influence in multilateral development banks: The case of the Asian Development Bank." *The Review of International Organizations* 1.2 (2006): 173-195.

## **PART II: THEMES**

## 6: Economic Growth **February 12**

Roger C. Riddell. *Does Foreign Aid Really Work?* Chapters 12 and 13: pp. 195-230.

Raghuram G. Rajan and Arvind Subramanian. "Aid and Growth: What Does the Cross-Country Evidence Really Show?" *Review of Economics and Statistics* 90.4 (2008): 643-665.

### Recommended:

World Bank. *Overview of Assessing Aid--What Works, What Doesn't, and Why*. New York: Oxford University Press, 1998. 8 pages.

([http://siteresources.worldbank.org/INTPRRS/Resources/477374-1252532137596/AA\\_Overview\\_\(ENGLISH\).pdf](http://siteresources.worldbank.org/INTPRRS/Resources/477374-1252532137596/AA_Overview_(ENGLISH).pdf))

Craig Burnside and David Dollar. "Aid, Policies and Growth: Revisiting the Evidence." *World Bank Policy Research Paper. Number O-2834*. (2004)

([http://dukespace.lib.duke.edu/dspace/bitstream/handle/10161/2032/Burnside\\_aid\\_policies\\_and\\_growth.pdf?sequence=1](http://dukespace.lib.duke.edu/dspace/bitstream/handle/10161/2032/Burnside_aid_policies_and_growth.pdf?sequence=1))

Jeffrey Sachs. "The Spread of Economic Prosperity" and "Why Some Countries Fail to Thrive." In *The End of Poverty: Economic Possibilities for Our Time*. New York: Penguin, 2005. Pp. 26-73.

**NO CLASS ON FEBRUARY 19: READING WEEK**

## 7: Governance and Transparency **February 26**

Roger C. Riddell. "Assessing the Impact of Aid Conditionality." *Does Foreign Aid Really Work?* Chapter 14: pp. 231-252.

Stephen Knack. "Aid Dependence and the Quality of Governance: Cross-Country Empirical Tests." *Southern Economic Journal* 68.2 (2001): 310-329

Homi Kharas. "Transparency: Changing the Accountability, Engagement and Effectiveness of Aid." In *Catalyzing Development: A New Vision for Aid*. Washington: The Brookings Institution Press, 2010. Pp. 233-259.

### Recommended:

Arthur A. Goldsmith (2001). "Foreign Aid and Statehood in Africa." *International Organization* 55: 123-148.

## 8. Democracy Promotion **March 5**

Thomas Carothers. "Democracy Assistance: The Question of Strategy." *Democratization* 4.3 (1997): 109-132.

Stephen Knack. "Does Foreign Aid Promote Democracy?" *International Studies Quarterly* 48 (2004): 251-266.

Steven E. Finkel et al. "The Effects of U.S. Foreign Assistance on Democracy Building, 1990-2003." *World Politics* 59.3 (2007): 404-440.

### Recommended:

Collins, Stephen D. "Can America Finance Freedom? Assessing U.S. Democracy Promotion via Economic Statecraft." *Foreign Policy Analysis* 5 (2009): 367-389.

Stephen Brown. "Foreign Aid and Democracy Promotion: Lessons from Africa." *European Journal of Development Research* 17.2 (2005): 179-198.

Sheila Carapico. "Foreign Aid for Promoting Democracy in the Arab World." *Middle East Journal* 56.3 (2002): 379-395.

Marina Ottaway and Thomas Carothers, eds. *Funding Virtue: Civil Society Aid and Democracy Promotion*. Washington, D.C.: Carnegie Endowment for International Peace, 2000.

**TERM PAPER DUE MARCH 8, 2013 VIA DROPBOX BY 5:00PM.**

**LATE PAPERS WILL BE PENALIZED BY 10% PER DAY.**

## 9: Human Rights **March 12**

International Security and Arms Export Control Act Foreign Aid and Human Rights Provision. (1976). 4 pages. (<http://usinfo.org/docs/democracy/54.htm>)

Poe, Steven C., C. Neal Tate and Linda Camp Keith. "Repression of the Human Right to Personal Integrity Revisited: A Global Cross-National Study Covering the Years 1976 -1993." *International Studies Quarterly* 43 (1999): 291-313.

James H. Lebovic and Erik Voeten. "The Cost of Shame: International Organizations and Foreign Aid in the Punishing of Human Rights Violators." *Journal of Peace Research* 46.1 (2009): 79-97.

Organization for Economic Cooperation and Development. *DAC Action-Oriented Policy Paper on Human Rights and Development*. (2007) pp. 1-12. (<http://www.oecd.org/dataoecd/50/7/39350774.pdf>)

## 10: Conflict **March 19**

Peter Gill. *Famine and Foreigners: Ethiopia since Live Aid*. Oxford: Oxford University Press, 2010.

Ejaz Ghani and Lakshmi Nyer. "Conflict and Development – Lessons from South Asia."

*Economic Premise*. Washington, D.C.: The World Bank, 2010. 8 pages.

(<http://documents.worldbank.org/curated/en/2010/09/12764884/conflict-development-lessons-south-asia>)

### Recommended:

Rania Abouzeid. "Syria's Secular and Islamist Rebels: Who Are the Saudis and the Qataris Arming?" *TIME* (2012) (<http://world.time.com/2012/09/18/syrias-secular-and-islamist-rebels-who-are-the-saudis-and-the-qataris-arming/>)

Eliana Balla and Gina Reinhardt. "Giving and Receiving Foreign Aid: Does Conflict Count?" *World Development* 36.12 (2008): 2566-2585.

Regine Andersen. "How Multilateral Development Assistance Triggered the Conflict in Rwanda." *Third World Quarterly* 21.3 (2000): 441-456.

### 11: Aid in Complex Environments **March 26**

Roger C. Riddell. *Does Foreign Aid Really Work?* Chapters 18 and 19: pp. 311-344.

Paul Collier. "Aid to the Rescue?" *The Bottom Billion: Why the Poorest Countries are Failing and What Can Be Done About It*. Oxford: Oxford University Press, 2007. Pp. 99-123.

Stephen Baranyi and Anca Paducel. "Whither Development in Canada's Approach toward Fragile States?" in *Struggling for Effectiveness: CIDA and Canadian Foreign Aid*. Montreal: McGill-Queen's University Press, 2012. Pp. 108-134.

#### Recommended:

Andrew Beath, Fotini Christia, and Ruben Enikolopov. "Winning Hearts and Minds through Development Aid: Evidence from a Field Experiment in Afghanistan." *Working Paper*. (2011) (<http://www.cefir.ru/papers/WP166.pdf>)

Rex Brynen. *A Very Political Economy: Peacebuilding and Foreign Aid in the West Bank and Gaza*. Washington, DC: USIP, 2000.

### 12: Is Aid the Solution? **April 2**

Andy Sumner and Michael Tribe. "The case for aid in fiscally constrained times: morals, ethics and economics." *Journal of International Development* 23.6 (2011): 782-801.

James D. Wolfensohn et al. *A Case for Aid: Building a Consensus for Development Assistance*. Washington, D.C.: The World Bank, 2002. (Available online through UWO libraries). Executive Summary (pp. 37-49) and Conclusions (pp. 153-156).

William Easterly. "Homegrown Development." *The White Man's Burden*. New York: Penguin Press, 2006. pp. 341-366.

#### Recommended:

Carol Lancaster. "Organizing for a New Century." *Transforming Foreign Aid*. (2000): pp. 89-95. ([http://www.piie.com/publications/chapters\\_preview/321/5iie2911.pdf](http://www.piie.com/publications/chapters_preview/321/5iie2911.pdf))

Dambisa Moyo. *Dead Aid: Why Aid is Not Working and How There is a Better Way for Africa*. New York: Farrar, Straus and Giroux, 2009.

### 13. Concluding Debate: Easterly vs. Collier **April 9**

William Easterly. "The Future of Western Assistance." *The White Man's Burden*. New York: Penguin Press, 2006. pp. 367-384.

Paul Collier. "An Agenda for Action." *The Bottom Billion: Why the Poorest Countries are Failing and What Can Be Done About It*. Oxford: Oxford University Press, 2007. pp. 175-192.

**TAKE HOME FINAL EXAM DUE VIA DROPBOX BY 5:00PM ON APRIL 16, 2013**

**APPENDIX TO UNDERGRADUATE COURSE OUTLINES  
DEPARTMENT OF POLITICAL SCIENCE**

**Prerequisite checking - the student's responsibility**

"Unless you have either the requisites for this course or written special permission from your Dean to enroll in it, you may be removed from this course and it will be deleted from your record. This decision may not be appealed. You will receive no adjustment to your fees in the event that you are dropped from a course for failing to have the necessary prerequisites."

**Essay course requirements**

With the exception of 1000-level courses, most courses in the Department of Political Science are essay courses. Total written assignments (excluding examinations) will be at least 3,000 words in Politics 1020E, at least 5,000 words in a full course numbered 2000 or above, and at least 2,500 words in a half course numbered 2000 or above.

**Use of Personal Response Systems ("Clickers")**

"Personal Response Systems ("clickers") may be used in some classes. If clickers are to be used in a class, it is the responsibility of the student to ensure that the device is activated and functional. Students must see their instructor if they have any concerns about whether the clicker is malfunctioning.

Students must use only their own clicker. If clicker records are used to compute a portion of the course grade:

- the use of somebody else's clicker in class constitutes a scholastic offence,
- the possession of a clicker belonging to another student will be interpreted as an attempt to commit a scholastic offence."

**Security and Confidentiality of Student Work** (refer to current *Western Academic Calendar* (<http://www.westerncalendar.uwo.ca/>))

"**Submitting or Returning Student Assignments, Tests and Exams** - All student assignments, tests and exams will be handled in a secure and confidential manner. Particularly in this respect, leaving student work unattended in public areas for pickup is not permitted."

**Duplication of work**

Undergraduate students who submit similar assignments on closely related topics in two different courses must obtain the consent of both instructors prior to the submission of the assignment. If prior approval is not obtained, each instructor reserves the right not to accept the assignment.

**Grade adjustments**

In order to ensure that comparable standards are applied in political science courses, the Department may require instructors to adjust final marks to conform to Departmental guidelines.

**Academic Offences**

"Scholastic offences are taken seriously and students are directed to read the appropriate policy, specifically, the definition of what constitutes a Scholastic Offence, at the following Web site:

<http://www.uwo.ca/univsec/handbook/appeals/scholoff.pdf>."



## Submission of Course Requirements

ESSAYS, ASSIGNMENTS, TAKE-HOME EXAMS **MUST** BE SUBMITTED ACCORDING TO PROCEDURES SPECIFIED BY YOUR INSTRUCTOR (I.E., IN CLASS, DURING OFFICE HOURS, TA'S OFFICE HOURS) OR UNDER THE INSTRUCTOR'S OFFICE DOOR.

THE MAIN OFFICE DOES NOT DATE-STAMP OR ACCEPT ANY OF THE ABOVE.

Note: Information excerpted and quoted above are Senate regulations from the Handbook of Scholarship and Academic Policy. <http://www.uwo.ca/univsec/handbook/>

Students registered in Social Science should refer to <http://counselling.ssc.uwo.ca/> <http://counselling.ssc.uwo.ca/procedures/havingproblems.asp> for information on Medical Policy, Term Tests, Final Examinations, Late Assignments, Short Absences, Extended Absences, Documentation and other Academic Concerns. Non-Social Science students should refer to their home faculty's academic counselling office.

## Plagiarism

"Plagiarism: Students must write their essays and assignments in their own words. Whenever students take an idea, or a passage from another author, they must acknowledge their debt both by using quotation marks where appropriate and by proper referencing such as footnotes or citations. Plagiarism is a major academic offence." (see Scholastic Offence Policy in the Western Academic Calendar).

**Plagiarism Checking:** "All required papers may be subject to submission for textual similarity review to the commercial plagiarism detection software under license to the University for the detection of plagiarism. All papers submitted for such checking will be included as source documents in the reference database for the purpose of detecting plagiarism of papers subsequently submitted to the system. Use of the service is subject to the licensing agreement, currently between The University of Western Ontario and Turnitin.com ( <http://www.turnitin.com> )."

**Multiple-choice tests/exams:** "Computer-marked multiple-choice tests and/or exams may be subject to submission for similarity review by software that will check for unusual coincidences in answer patterns that may indicate cheating."

Note: Information excerpted and quoted above are Senate regulations from the Handbook of Scholarship and Academic Policy. <http://www.uwo.ca/univsec/handbook/>

### **PLAGIARISM\***

In writing scholarly papers, you must keep firmly in mind the need to avoid plagiarism. Plagiarism is the unacknowledged borrowing of another writer's words or ideas. Different forms of writing require different types of acknowledgement. The following rules pertain to the acknowledgements necessary in academic papers.

**A. In using another writer's words, you must both place the words in quotation marks and acknowledge that the words are those of another writer.**

You are plagiarizing if you use a sequence of words, a sentence or a paragraph taken from other writers without acknowledging them to be theirs. Acknowledgement is indicated either by (1) mentioning the author and work from which the words are borrowed in the text of your paper; or by (2) placing a footnote number at the end of the quotation in your text, and including a correspondingly numbered footnote at the bottom of the page (or in a separate reference section at the end of your essay). This footnote should indicate author, title of the work, place and date of publication, and page number.

Method (2) given above is usually preferable for academic essays because it provides the reader with more information about your sources and leaves your text uncluttered with parenthetical and tangential references. In either case words taken from another author must be enclosed in quotation marks or set off from your text by single spacing and indentation in such a way that they cannot be mistaken for your own words. Note that you cannot avoid indicating quotation simply by changing a word or phrase in a sentence or paragraph which is not your own.

**B. In adopting other writers' ideas, you must acknowledge that they are theirs.**

You are plagiarizing if you adopt, summarize, or paraphrase other writers' trains of argument, ideas or sequences of ideas without acknowledging their authorship according to the method of acknowledgement given in 'A' above. Since the words are your own, they need not be enclosed in quotation marks. Be certain, however, that the words you use are entirely your own; where you must use words or phrases from your source, these should be enclosed in quotation marks, as in 'A' above.

Clearly, it is possible for you to formulate arguments or ideas independently of another writer who has expounded the same ideas, and whom you have not read. Where you got your ideas is the important consideration here. Do not be afraid to present an argument or idea without acknowledgement to another writer, if you have arrived at it entirely independently. Acknowledge it if you have derived it from a source outside your own thinking on the subject.

In short, use of acknowledgements and, when necessary, quotation marks is necessary to distinguish clearly between what is yours and what is not. Since the rules have been explained to you, if you fail to make this distinction your instructor very likely will do so for you, and they will be forced to regard your omission as intentional literary theft. Plagiarism is a serious offence which may result in a student's receiving an 'F' in a course or, in extreme cases in their suspension from the University.

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Adopted by the council of the Faculty of Social Science, October, 1970; approved by the Dept. of History August 13, 1991

**Accessibility at Western:** Please contact [poliscie@uwo.ca](mailto:poliscie@uwo.ca) if you require any information in plain text format, or if any other accommodation can make the course material and/or physical space accessible to you.

**Mental Health at Western:** If you or someone you know is experiencing distress, there are several resources here at Western to assist you. Please visit <http://www.uwo.ca/uwocom/mentalhealth/> for more information on these resources and on mental health.